



ANNUAL REPORT of PHILANTHROPY 2021-22

Berkeley



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 UC Berkeley Foundation
 Board of Trustees 2021–2214

This is a condensed version of UC Berkeley’s 2021-2022 Annual Report of Philanthropy, which provides highlights of the impact of private philanthropy at Berkeley.



A Message from the Chancellor

The past year represented a welcome return to so much that is great about Berkeley — not only a return to in-person teaching, learning, and research, but also a reaffirmation of our ability to address and overcome obstacles to provide the very best education to all who deserve it. Though the times we live in present many challenges, I’m deeply grateful that Berkeley’s wonderful alumni, parents, friends, faculty, students, and staff keep coming back, always ready to help the university pursue the very best of what’s possible at our world-class institution.

Perhaps nowhere was our coming together more apparent than in Berkeley’s record-setting year in fundraising, an achievement spurred by the campus’s ongoing Light the Way campaign. In the 2021–22 fiscal year, we surpassed the \$1 billion fundraising mark for the second time in our history, breaking the 2020 record for the amount raised in a single year in the process. As of June 30, 2022, we reached 97 percent of our \$6 billion goal for the entire Light the Way campaign — positioning us to reach that fundraising milestone in early November 2022, well in advance of the campaign’s close!

Your giving over the past year has empowered the campus to embark on vital changes to our facilities, academic programs, and research; expanded support for faculty and students; and fostered our efforts to ensure equitable access to the Berkeley experience. Together we are creating much-needed housing, enhancing the path to meaningful careers and greater equity in athletics, and infusing student-run programs with new life. Our donors, by coming together so profoundly once again, have made it clear that the promise of Berkeley remains as strong as ever.

As we near the campaign’s close at the end of 2023, we will continue to emphasize our top fundraising priorities: faculty and fellowships, research for the public good, undergraduate opportunity and experience, and places of possibility in the form of capital projects and facilities upgrades. With the ongoing support of donors like you, Berkeley will undoubtedly remain a beacon of light that continues to shine.

Thank you once again. *Fiat Lux* and Go Bears!

Carol T. Christ

Carol T. Christ
Chancellor



A Message from the Vice Chancellor

As I reflect on this past fiscal year, I want to express my gratitude for the impact of your incredible generosity in 2021–22. Our banner fundraising year, with more than \$1 billion raised, is an incredible achievement — when our donor community comes together time and again with such strength, so much becomes possible. Thank you!

In all, more than 63,000 donors stepped up to strengthen Berkeley in the past year, making more than \$1.2 billion in gifts and pledges, and bringing our campaign total to over \$5.8 billion through June 30, 2022.

Our donors' wide-ranging interests fuel a broad array of ambitious projects that will change the look and feel of the university, enhancing the experience of our students and the broader campus community. Your generosity in the past year is just the latest chapter in the Berkeley story, which reflects our ongoing efforts to make our society and world more just, open, and supportive to all.

As the Light the Way campaign enters its final year, it's clear that the entire Cal community continues to play a vital role in supporting our students, faculty, and programs — ensuring that Berkeley illuminates knowledge for generations to come. This campaign is historic, not only for the size of the fundraising goal, but even more importantly for the impact it will have on the future of Berkeley's teaching, research, and public mission. We are grateful for your ongoing vision and integral support as we pursue our goals — and continue to reach ever higher as the world's top public university.

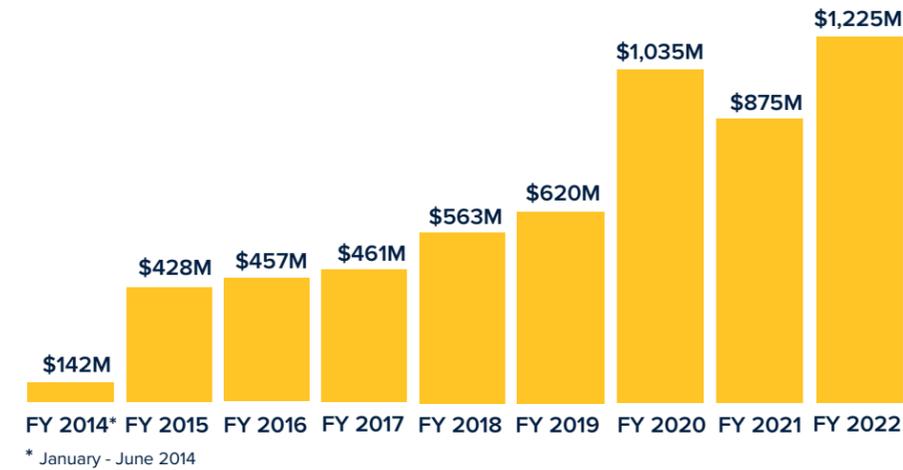
As campus life has returned to our relative “new normal,” I am struck by and proud of the continued strength of our donor community — and I am deeply grateful for your positivity and lasting belief in the promise of Berkeley. Once again, my most heartfelt thanks to you.

Julie M. Hooper

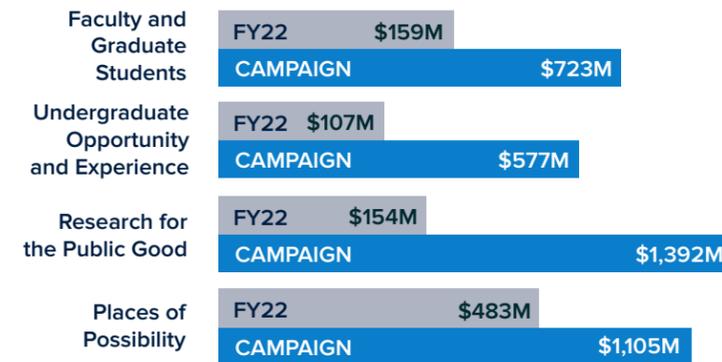
Julie M. Hooper, CFRE
Vice Chancellor, University Development and Alumni Relations
President, UC Berkeley Foundation

Berkeley's Fiscal Year and Campaign Totals: This year, 63,087 donors made contributions, and \$5.8 billion has been raised toward Light the Way's \$6 billion goal. Thank you for spreading Berkeley's light.

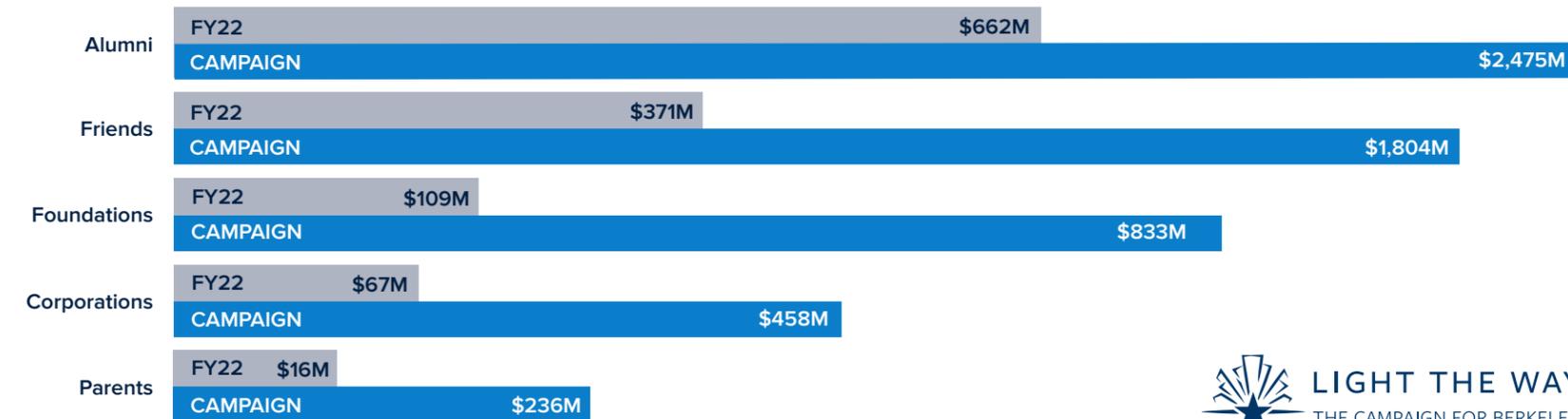
TOTAL RAISED THROUGH JUNE 30, 2022 = \$5,806,278,327



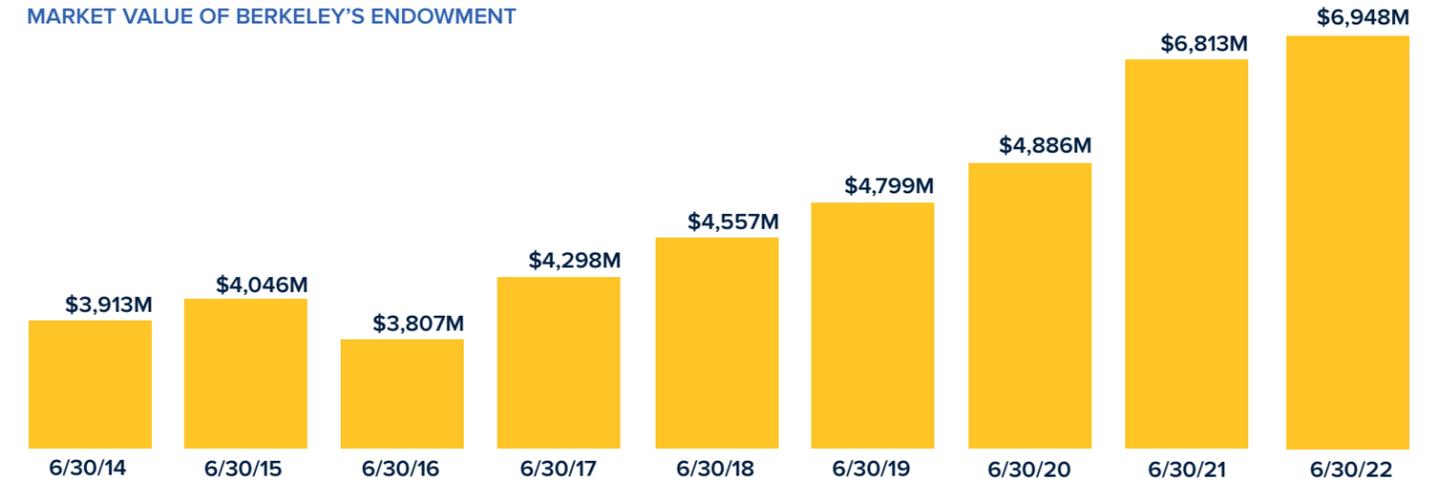
TOTAL RAISED FOR CAMPAIGN PRIORITIES



BERKELEY'S DONOR COMMUNITY



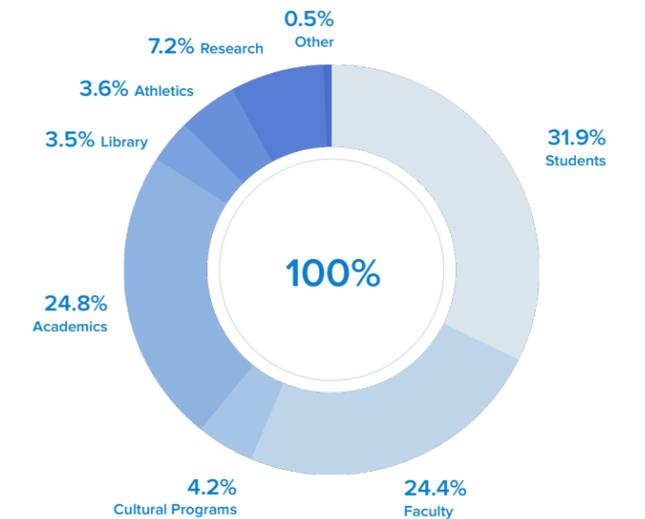
MARKET VALUE OF BERKELEY'S ENDOWMENT



Berkeley's Endowment:

The endowment provides a reliable funding source for our students, faculty, and the groundbreaking programs that maintain Berkeley's reputation of excellence. It generated \$184 million in payout to the campus in 2021–22.

WHAT BERKELEY'S ENDOWMENT SUPPORTS





Helen Diller Foundation gift will make transfer students feel at home

Transfer students at UC Berkeley will soon have a new place to call home, thanks to a visionary, transformational gift from the Helen Diller Foundation. Construction of the 772-bed, apartment-style housing project — to be named the Helen Diller Anchor House — should be completed in time for the 2024-25 academic year, providing new opportunities for transfer and commuter students to engage in the Cal community.

“The Helen Diller Foundation answered the chancellor’s urgent call to increase student housing and has partnered with the campus to target housing that is supportive to transfer and commuter students,” says Rosemarie Rae, UC Berkeley’s vice chancellor for finance and its chief financial officer.

The 500,000-square-foot structure, which is being built on Oxford Street across from the university’s western entrance, “will redefine the transfer student experience at UC Berkeley and further strengthen the transfer student community,” Rae adds.

The residential building is being designed for, built for, and donated to Berkeley, in an effort similar to the university’s International House, a multicultural resident and program center built and funded in 1930 by John D. Rockefeller Jr. No public funds will be used in the construction and operation of Anchor House.

Helen Diller met her husband, Sanford, while they were attending Berkeley. Both were students of modest means who sought a quality public education to make a better life. The new facility is expected to be an “anchor” for generations of future students — and far more than just a place to slumber.

“Having that built-in community, centralized resources, and access to a variety of services will benefit them in significant ways.”

— Lorena Valdez, director of transfer student programs

Anchor House will have two event spaces (with accompanying catering kitchens), a culinary classroom to promote healthy and sustainable cooking, and a makerspace run by the Berkeley Art Studio, which will host classes for students and the community.

An expansive indoor/outdoor fitness center also will be available to residents, along with a rooftop vegetable garden, secure bicycle storage, a bike maintenance shop, and lounges and activity spaces for residents and other Berkeley students who commute to school.

“When I came to UC Berkeley, I stated my ambitious goal to double our student housing over the next decade,” says Chancellor Carol Christ. “I am very grateful for the vision, dedication, enthusiasm and generosity of the Helen Diller Foundation and its team in ensuring that Berkeley will provide inspired housing for our students and remain a beacon of light for many generations to come.”

Students will pay below-market rent to live at Anchor House. Income generated from the property will perpetually fund scholarships for low-income students at Berkeley.

Twenty-one percent of all Berkeley undergraduates are transfers, who aren’t guaranteed housing. Those students often can’t find affordable accommodations near campus and struggle to connect to traditional undergraduate experiences.

Anchor House is being built around the premise of “home, inspired” — a building that reinvents the transfer-student housing experience to create a unique sense of community and to prepare students for academic and professional success.



8 Students thank Dr. Herbert Wertheim at the October 2021 celebration of his foundation's gift

Landmark gift strengthens Berkeley's focus on preeminence in vision care

In the United States, vision impairment is one of the top 10 disabilities among adults, and it is one of the most prevalent disabling conditions among children, according to the Centers for Disease Control.

A \$50 million gift to Berkeley Optometry from the Dr. Herbert and Nicole Wertheim Family Foundation promises to meet these challenges by expanding the school's ability to train the next generation of optometric physicians and educators to serve as leaders in the spectrum of primary and preventative health care. To honor the landmark gift, the school was renamed the Herbert Wertheim School of Optometry and Vision Science.

"Optometry is America's first line of defense for the prevention of blindness," says Herbert Wertheim, an optometrist, entrepreneur, philanthropist, and inventor. "I'm excited about what we're going to do at Berkeley over these next years, because it's going to transform patient expectations of vision care and service to help prevent those that would have become blind without care and help protect those from accidental eye injuries worldwide.

"We hope to expand the practice of optometry so that we're able to provide timely and expanded patient care and outcomes to America's communities and to the worldwide population."

"The gift will not only strengthen the professional and research training capabilities of the school and its programs, but will enable new models of continuing professional education that will influence and elevate the profession of optometry."

— John Flanagan, dean of the Herbert Wertheim School of Optometry and Vision Science

The Wertheims' gift — the lead gift for what will be a 10-year, \$100 million investment in the program — will support new clinical and educational facilities; expand the school's clinical network and residency training; develop new initiatives for children's vision (including Berkeley Vision CURE, a national and international initiative that will work to get eyeglasses to all children who need them); create endowed support for both professional and research graduate students; and fund the Vision Science Institute, creating a new hub for vision research at UC Berkeley. These initiatives will be supported by the creation of two new, endowed Chancellor's Chairs: the Herbert Wertheim Chair in Neuro-Optometry and the Nicole Wertheim Chair in Pediatric Optometry.

"This transformational investment will allow Berkeley to rededicate itself to innovation, entrepreneurship, scientific research, and technological leadership in optometry and vision science, from vision care and the profession of optometry to the impact of vision research and expanding the profession's reach to broader populations," says Chancellor Carol Christ. "We are tremendously grateful to Dr. Herbert and Nicole Wertheim for this gift and for their shared vision of making life better for people around the world."

Herbert Wertheim is founder and CEO of Brain Power Incorporated, which manufactures ophthalmic instruments and chemicals. He was the first researcher to discover and manufacture a variety of sun filtering, UV-blocking, and therapeutic eyeglass tints that can help improve vision performance, prevent cataracts, and reduce retinal and corneal deterioration.



10 Ned and Carol Spieker

Not business as usual

A \$30 million gift to the Haas School of Business is adding luster to its top-ranked, two-year undergraduate business program, stretching the program to four years while targeting five areas to help students excel on campus and beyond.

The gift from Haas alumnus Warren “Ned” Spieker ’66, and his wife, Carol ’66 (political science), will give undergraduates the option to apply directly to Haas and enter in their first year (the program currently is open to third-year students). The longer timeline in the program will afford students more opportunities for career development, study abroad opportunities, entrepreneurship programs, capstone projects, and internships.

“This is a historic, game-changing investment in undergraduate business education,” says Berkeley Haas Dean Ann E. Harrison. “We are so thrilled that Ned and Carol have made a commitment to Haas toward building the next generation of business leaders.”

The new program will be called the Spieker Undergraduate Business Program. It will admit its first cohort in August 2024.

The Spiekers’ gift will be used in five ways: endowing a new scholars program to attract the best and brightest undergraduates; building outreach and support to ensure that high-performing students consider Haas; creating a “life-changing” student experience by adding staffing to ensure that students are making the most of their time; providing new co-curricular opportunities; and enhancing classroom technology and infrastructure.

“A four-year undergraduate business experience will provide remarkable new opportunities for students,” says Spieker, a Haas School Board member who is founder and former chairman and CEO of Spieker Properties, one of the largest owners and operators of commercial property.



A rendering of the Engineering Student Center

Donors engineer a hub of possibility

Members of the College of Engineering community will soon have a new central gathering space, one that will serve as a vibrant hub of learning and discovery, cross-disciplinary collaboration, innovation, and entrepreneurship.

The college has raised more than \$75 million in gifts, including a \$30 million challenge match grant from an anonymous alum, to fund the Engineering Student Center. The facility, slated to open in early 2025, will encase the existing Bechtel Engineering Center, creating a vibrant destination for collaboration and community.

“The renovation and expansion of our Engineering Student Center reflects a transformation in the culture of engineering to be more welcoming and inclusive,” says Tsu-Jae King Liu, dean of the College of Engineering. “Berkeley Engineering students will go on to design and build the future; we want the place where they learn, collaborate, and innovate together to support and inspire them to make our world more equitable, healthy, and sustainable for all.”

The need for a new center is evident: since the current building was constructed four decades ago, Berkeley Engineering’s enrollment has surged by more than 80 percent.

“The new engineering center will provide a better foundation upon which students can thrive academically and socially.”

— Tino Trangia ’23, co-president of the Engineering Student Council

The building also will serve as the future home of the Sutardja Center for Entrepreneurship & Technology, in addition to housing student services: academic advising, tutoring, counseling, mentoring, and other student-oriented programs. Additions will be made across two new floors, adding 35,500 square feet.

Tino Trangia ’23, co-president of the Engineering Student Council and a senior in electrical engineering and computer sciences, expects to return as an alumnus to see the new building after it opens. “It has the potential to become a leap forward in academic placemaking,” he says, “and a reflection of the College of Engineering’s culture of inclusive excellence.”



Economics alum Steven Sidener supports fellowships with estate gift

Four decades after Steven Sidener '82 earned his economics degree, his legacy will impact generations of Berkeley graduate students. Sidener passed away in August 2020 at the age of 62, just shy of his 63rd birthday. Shortly after, the Department of Economics received a \$10.5 million estate gift that will extend Sidener's enduring connection to the university and department. Committed to strengthening the student experience, the Steven Sidener Graduate Support Fund will support fellowships, graduate student research, and the graduate program.

“This funding will allow us to be more competitive with other top-ranked schools to recruit the very best students while continuing to support our current Ph.D. student body.”

— Shachar Kariv, professor of economics

“To the best of my knowledge, this is the largest single gift that the Department of Economics has ever received,” says Shachar Kariv, who served as department chair at the time of the gift and is the Benjamin N. Ward Professor of Economics. “This funding will allow us to be more competitive with other top-ranked schools to recruit the very best students while continuing to support our current Ph.D. student body.”

Sidener's gift comes at a pivotal time for the department, which has recently received additional attention with its sixth Nobel Prize winner, current professor David Card. The Department of Economics is renowned for its groundbreaking work in economic theory and applied research, and its faculty and students have served as policymakers at the highest levels, both nationally and across the globe. Thanks to the Steven Sidener Graduate Support Fund, future graduate students will continue to have access to the enriching and transformative academic experience that defines Berkeley Economics.

Major gifts strengthen support for Berkeley's faculty, graduate students

Throughout the Light the Way campaign — and over the past fiscal year in particular — donors have come together to support the campus's faculty and its graduate students, two key priorities within the \$6 billion fundraising effort.

Stellar **faculty** are at the center of Berkeley's far-reaching light — and donors enable the university to attract and retain star educators who power Berkeley's sustained excellence. Shining examples of faculty support in the past year include the Bruce and Susan Stangeland Professorship in Chemical and Biomolecular Engineering, providing outstanding non-tenured faculty with resources to advance their research and teaching, as well as the Tony Xu and Patti Bao Chancellor's Chair in Computer Science, supporting path-breaking faculty in that dynamic, evolving field.

Meanwhile, high-caliber **graduate students** also remain vital to the Berkeley experience — attracting world-class faculty and working alongside them to drive discoveries that shape the world for the better, while inspiring undergraduates to open their minds to the greatest possibilities. The wide range of graduate fellowships established in 2021–22 includes support from author and researcher Andrew Rowen '76 in the area of Native American studies; the Douglas M. Fambrough III, Ph.D. 1996 Fellowship, promoting graduate work in molecular and cell biology; and a fellowship funded by James Barter '68 in support of studies in ancient history and Mediterranean archaeology.

Together, our donors' investment in faculty and graduate students continues to ignite the very core of Berkeley's excellence — and secure our intellectual future for decades to come.

Visit light.berkeley.edu for other inspiring stories.

The UC Berkeley Foundation (UCBF), dedicated to advancing the university’s core endeavors since 1948, is the leading force behind raising private funds for Cal. Governed by a Board of Trustees, it is composed of professional, business, and philanthropic leaders. In addition to securing gifts, trusts, and bequests to benefit programs across campus, the foundation is one of the primary advisory groups to the Chancellor and provides guidance in maintaining Berkeley’s global preeminence.

The UCBF is also responsible for carefully monitoring invested gifts under its jurisdiction and has demonstrated consistent success. The Berkeley Endowment Management Company, launched in 2009, manages the strategic investment of endowment funds given through the foundation and helps ensure long-term support for the university’s most essential priorities.

Environmental, Social, and Corporate Governance (ESG) are three areas of focus used to guide socially responsible investing. The UC Berkeley Foundation formally established an ESG Subcommittee in 2014 to translate Berkeley’s core mission of teaching, research, and public service into a set of awareness-focused principles that govern the Foundation’s investment approach.

To learn more, visit ucberkeleyfoundation.org.

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The University of California, Berkeley Annual Report of Philanthropy 2021–22 was produced by University Development and Alumni Relations in November 2022.

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